

ATTENTION ATTENTION ATTENTION

BEST AVAILABLE COPY

Method of Refund:

☐ ACH/EFT

☐ Credit Card

☒ Deposit Account # 50-0801

☐ Treasury Check

Patent/TM/App/Serial # 10/604,341

Program Area Publishing

Date Processed 8/22/2005

ATTENTION ATTENTION ATTENTION



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Yi-Nan Chen
Appl. No. : 10/604,341 Filing Date: 07/14/2003
Examiner : KENNEDY, JENNIFER Art Unit : 2812
Docket No.: NTCP0010USA M

Title: METHOD FOR FABRICATING ASYMMETRIC INNER
STRUCTURE IN CONTACTS OR TRENCHES

To : Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

Subject : Request for refund of publication fee

Dear Sir ,

The issue fee and publication fee of the above identified application was paid on 03/05/2004 (~~Attachment 1~~). And the publication fee \$300 was deducted from the deposit account no. 50-0801 on 03/12/2004 (Attachment 1). But the above-identified application was issued as a patent on 06/15/2004 without publication process (Attachment 2).

The applicant hereby requests to refund the publication fee \$300 to the Deposit Account No. 50-0801 pursuant to CFR 1.211(e) and MPEP 1126. Your quick response will be greatly appreciated.

Adjustment date: 08/24/2005 RCLEFONS
03/12/2004 MBIZUNE2 00000207 500001 10604
01 FC:1504 300.00 CR

BEST AVAILABLE COPY

Sincerely yours,

Winston Hsu

Date:

JUL 08 2005

Winston Hsu, Patent Agent No. 41,526

P.O. Box 506, Merrifield, Virginia 22116, U.S.A

Voice Mail: 302-729-1562

Facsimile : 806-498-6673

e-mail : winstonhsu@naipo.com

Note: Please leave a message in my voice mail if you need to talk to me. (The time in D.C. is 12 hours behind the Taiwan time, i.e. 9 AM in D.C. = 9 PM in Taiwan.)

BEST AVAILABLE COPY

Deposit Account Statement

第3頁, 共7頁

03/11 37	10708553	OTMP0072USA	1001	\$770.00	\$39,269.92
03/11 38	10708553	OTMP0072USA	1202	\$36.00	\$39,233.92
03/11 39	10708553	OTMP0072USA	8021	\$40.00	\$39,193.92
03/11 40	10708554	DRAP0001USA	2001	\$385.00	\$38,808.92
03/11 41	10708554	DRAP0001USA	8021	\$40.00	\$38,768.92
03/12 22	10708572	LKSP0046USA	1001	\$770.00	\$37,998.92
03/12 23	10708572	LKSP0046USA	8021	\$40.00	\$37,958.92
03/12 24	10708573	LKSP0047USA	1001	\$770.00	\$37,188.92
03/12 25	10708573	LKSP0047USA	8021	\$40.00	\$37,148.92
03/12 27	10708576	MTKP0045USA	1001	\$770.00	\$36,378.92
03/12 28	10708576	MTKP0045USA	1202	\$36.00	\$36,342.92
03/12 29	10708576	MTKP0045USA	1201	\$86.00	\$36,256.92
03/12 30	10708576	MTKP0045USA	8021	\$40.00	\$36,216.92
✓ 03/12 464	10604341	NTCP0010USA	1504	\$300.00	\$35,916.92
03/12 465	10604341	NTCP0010USA	1501	\$1,330.00	\$34,586.92
03/12 471	09682006	ASTP0018USA	1504	\$300.00	\$34,286.92
03/12 472	09682006	ASTP0018USA	1501	\$1,330.00	\$32,956.92
03/12 473	10249746	AMBP0005USA	1504	\$300.00	\$32,656.92
03/12 474	10249746	AMBP0005USA	1501	\$1,330.00	\$31,326.92
03/12 475	09682311	ASTP00017USA	1504	\$300.00	\$31,026.92
03/12 476	09682311	ASTP00017USA	1501	\$1,330.00	\$29,696.92
03/12 477	10248746	NAUP0457USA	1504	\$300.00	\$29,396.92
03/12 478	10248746	NAUP0457USA	1501	\$1,330.00	\$28,066.92
03/12 479	10064036	NAUP0500USA	1504	\$300.00	\$27,766.92
03/12 480	10064036	NAUP0500USA	1501	\$1,330.00	\$26,436.92
03/12 481	10249680	EMEP0051USA	2501	\$665.00	\$25,771.92
03/12 482	10249680	EMEP0051USA	1504	\$300.00	\$25,471.92
03/12 483	10064610	FTCP0001USA	1504	\$300.00	\$25,171.92
03/12 484	10064610	FTCP0001USA	2501	\$665.00	\$24,506.92
03/15 35	10708600	ACMP0178USA	1001	\$770.00	\$23,736.92
03/15 36	10708600	ACMP0178USA	8021	\$40.00	\$23,696.92
03/15 37	10708601	ACMP0180USA	1001	\$770.00	\$22,926.92
03/15 38	10708601	ACMP0180USA	8021	\$40.00	\$22,886.92
03/15 39	10708602	OTMP0065USA	1001	\$770.00	\$22,116.92
03/15 40	10708602	OTMP0065USA	8021	\$40.00	\$22,076.92
03/15 41	10708603	OTMP0066USA	1001	\$770.00	\$21,306.92
03/15 42	10708603	OTMP0066USA	8021	\$40.00	\$21,266.92
03/16 23	10708616	MTKP0108USA	1001	\$770.00	\$20,496.92
03/16 24	10708616	MTKP0108USA	1202	\$108.00	\$20,388.92
03/16 25	10708616	MTKP0108USA	8021	\$40.00	\$20,348.92
03/16 32	10708619	CMDP0008USA	1001	\$770.00	\$19,578.92
03/16 33	10708619	CMDP0008USA	8021	\$40.00	\$19,538.92
03/16 34	10708620	QDIP0005USA	1001	\$770.00	\$18,768.92
03/16 35	10708620	QDIP0005USA	8021	\$40.00	\$18,728.92
03/16 36	10708621	TRAP0007USA	2001	\$385.00	\$18,343.92
03/16 37	10708621	TRAP0007USA	8021	\$40.00	\$18,303.92
03/16 38	10708622	SISP0012USA	1001	\$770.00	\$17,533.92
03/16 39	10708622	SISP0012USA	8021	\$40.00	\$17,493.92
03/16 263	10605853	ACMP0137USA	8007	\$40.00	\$17,453.92
03/16 266	10604408	CMDP0006USA	8007	\$40.00	\$17,413.92
03/16 271	10605952	REAP0036USA	8007	\$40.00	\$17,373.92
03/16 275	10604834	AFAP0001USA	8007	\$20.00	\$17,353.92
03/17 11	10604040	ACMP0123USA	8007	\$60.00	\$17,293.92
03/17 13	10609532	ACMP0116USA	---		

Attachment 1

BEST AVAILABLE COPY



United States Patent and Trademark Office

Home | Site Index | Search | FAQ | Glossary | Guides | Contacts | eBusiness | eBiz alerts | News | Help

PATENT APPLICATION INFORMATION RETRIEVAL



Search results as of: 7-7-2005::3:24:41 E.T.

Search results for application number: 10/604,341			
Application Number:	10/604,341	Customer Number:	27765
Filing or 371(c) Date:	07-14-2003	Status:	Patented Case
Application Type:	Utility	Status Date:	05-27-2004
Examiner Name:	KENNEDY, JENNIFER M	Location:	ELECTRONIC
Group Art Unit:	2812	Location Date:	-
Confirmation Number:	1340	Earliest Publication No:	-
Attorney Docket Number:	NTCP0010USA	Earliest Publication Date:	-
Class/ Sub-Class:	438/597	Patent Number:	6,750,116
First Named Inventor:	Yinan Chen, Taipei City, (TW)	Issue Date of Patent:	06-15-2004
Title Of Invention:	METHOD FOR FABRICATING ASYMMETRIC INNER STRUCTURE IN CONTACTS OR TRENCHES		

Search Options

Assignments
Display References
Image File Wrapper
Maintenance Fees - Retrieve fees to pay
Maintenance Fees - View payment windows
Maintenance Statement - View 04 year payment window
Maintenance Statement - View 08 year payment window
Maintenance Statement - View 12 year payment window
Patent Term Adjustment History
Published Documents

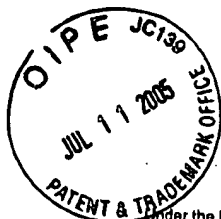
Maintenance Fees Available: Mon-Fri 5:30 AM to Midnight, Sat-Sun-Hol. 7:30 AM to 8:00 PM E.T.

File History	
Date	Contents Description
07-06-2004	Recordation of Patent Grant Mailed
05-27-2004	Issue Notification Mailed
06-15-2004	Patent Issue Date Used in PTA Calculation
05-18-2004	Receipt into Pubs
05-14-2004	Workflow - Query Request - Finish
05-05-2004	Mall Examiner's Amendment

BEST AVAILABLE COPY

05-03-2004	Examiner's Amendment Communication
04-13-2004	Workflow - Query Request - Begin
04-09-2004	Receipt into Pubs
04-08-2004	Application Is Considered Ready for Issue
07-14-2003	Workflow - Drawings Finished
03-09-2004	Issue Fee Payment Verified
03-31-2004	Receipt into Pubs
03-09-2004	Issue Fee Payment Received
02-19-2004	Receipt into Pubs
02-18-2004	Workflow - File Sent to Contractor
01-20-2004	Mail Notice of Allowance
01-12-2004	IFW TSS Processing by Tech Center Complete
01-12-2004	Case Docketed to Examiner in GAU
01-12-2004	Issue Revision Completed
01-12-2004	Notice of Allowance Data Verification Completed
01-12-2004	Case Docketed to Examiner in GAU
01-12-2004	Notice of Allowability
12-18-2003	Case Docketed to Examiner in GAU
12-05-2003	Application Return from OIPE
12-05-2003	Application Return TO OIPE
12-05-2003	Application Return from OIPE
12-05-2003	Application Is Now Complete
11-21-2003	Pre-Exam Office Action Withdrawn
11-21-2003	Application Return TO OIPE
11-21-2003	Application Dispatched from OIPE
11-21-2003	Application Is Now Complete
10-14-2003	Additional Application Filing Fees
10-14-2003	An assertion that the nature of the legal entities having property rights to the patent authorizes t
10-14-2003	A statement by one or more inventors satisfying the requirement under 35 USC 115, Oath of the Applic
08-13-2003	Notice Mailed--Application Incomplete--Filing Date Assigned
07-21-2003	Cleared by OIPE CSR
07-15-2003	IFW Scan & PACR Auto Security Review
07-14-2003	Initial Exam Team nn

BEST AVAILABLE COPY



JUL 12 11 12

DEP. Ref

507

PTO/SB/21 (09-04)

Approved for use through 07/31/2008. OMB 0651-0031

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <small>(to be used for all correspondence after initial filing)</small>	Application Number	10/604,341
	Filing Date	07/14/2003
	First Named Inventor	Yi-Nan Chen
	Art Unit	2812
	Examiner Name	KENNEDY, JENNIFER M
	Attorney Docket Number	NTCP0010USA
Total Number of Pages in This Submission	6	

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1. A copy of the deposit account statement
<input type="checkbox"/> Information Disclosure Statement	<input checked="" type="checkbox"/> Request for Refund	2. A copy of the application data and transaction history
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	
	Request for a refund of publication fee	
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm Name	North America Intellectual Property Corp.	
Signature	<i>Winston Hsu</i>	
Printed name	Winston Hsu	
Date	JUL 08 2005	Reg. No. 41,526

BEST AVAILABLE COPY

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:		
Signature		
Typed or printed name		Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.